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# TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number 10/749,510-Conf. #8689

Filing Date January 2, 2004

First Named Inventor Kenichi Shigenami

Art Unit 2818

Examiner Name Not Yet Assigned

Attorney Docket Number SON-2888

## ENCLOSURES (Check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Preliminary Amendment

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/  
Incomplete Application

☐ Reply to Missing Parts under  
37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

☐ After Allowance Communication  
to TC

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please  
Identify below):

Return Receipt Postcard  
Clean and Redline Substitute  
Specifications

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name RADER, FISHMAN & GRAUER PLLC

Signature

Printed name Ronald P. Kananen

Date April 18, 2005

Reg. No. 24,104



Docket No.: SON-2888  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Kenichi SHIGENAMI

Application No.: 10/749,510

Filed: January 2, 2004

For: SEMICONDUCTOR MEMORY DEVICE

Confirmation No.: 8689

Art Unit: 2818

Examiner: Not Yet Assigned

**FIRST PRELIMINARY AMENDMENT**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows: